

[illegible][illegible][illegible][illegible][illegible]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorney(s), with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Marvin E. Jacobs, Registration No. 20,632

Send correspondence to:

Marvin E. Jacobs  
KOPPEL & JACOBS  
2151 Alessandro Drive, Suite 215  
Ventura, California 93001  
Telephone: (805) 648-5194

<u>KARL E. UTH</u>	<u>USA</u>
Full Name of First or Sole Inventor	Citizenship
<u>3405 Budleigh Drive</u>	<u>Same</u>
RESIDENCE Address -Street	POST OFFICE Address
<u>Hacienda Heights 91745</u>	<u>Same</u>
City (Zip)	City (Zip)
<u>California</u>	<u>Same</u>
State or Country	State or Country
<u>Date</u>	<u>Signature</u>

*Karl Uth*

FROM :

FAX NO. :

Dec. 11 2000 03:23PM P4

Applicant or Patentee: KARL E. UTH

Attorney's

Serial or Patent No.: Unknown

Docket No. 960-20-008

Filed or Issued: Herewith

For: ROTARY BARRIER FACE SEAL

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL  
[37 CFR 1.9(f) and 1.27(c)] - SMALL BUSINESS**



12-26-2000

U.S. Patent & TMO/TM Mail Rpt. Dt. #31

I hereby declare that I am

( ) the owner of the small business concern identified below;

(XX) an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF CONCERN TurboCom

ADDRESS OF CONCERN Bei der Warte 3, 37318 Wüsteuerode, Germany

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regards to the invention entitled ROTARY BARRIER FACE SEAL by inventor(s) Karl E Uth described in

(XX) the specification filed herewith;

( ) application serial no. \_\_\_\_\_, filed

( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

NAME:

ADDRESS:

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Karl E. Uth

TITLE OF PERSON OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING Bei der Warte 3, 37318 Wüsteuerode, Germany

SIGNATURE Karl E. Uth Date: 12-11-2000

FROM :

FAX NO. :

Dec. 11 2000 03:22PM P3

Applicant or Patentee: KARL E. UTH

Attorney's

Serial or Patent No. UnknownDocket No. 860-20-008Filed or Issued Herewith

For:

ROTARY BARRIER FACE SEAL

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled ROTARY BARRIER FACE SEAL described in

- (XX) the specification filed herewith,  
 ( ) application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
 ( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ( ) no such person, concern, or organization.  
 (XX) person, concerns or organizations listed below.

\* Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: TurboComADDRESS: Bei der Warte 3, 37318 Wüsthoeueroede, Germany

( ) INDIVIDUAL (XX) SMALL BUSINESS CONCERN ( ) NON-PROFIT ORGANIZATION

NAME:

ADDRESS:

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

KARL E. UTH  
 NAME OF INVENTOR  
[Signature]  
 SIGNATURE OF INVENTOR

NAME OF INVENTOR  
 SIGNATURE OF INVENTOR

NAME OF INVENTOR  
 SIGNATURE OF INVENTOR

12-11-2000  
 DATE

DATE

DATE